STATE OF CALIFORNIA

DEDUCTION PROGRAM HANDBOOK

Dental
Group Legal
Health
Life
Long-Term Disability
Vision

Administered by:
Office of the State Controller
Personnel/Payroll Operations Bureau
PO Box 942850
Sacramento, California   94250-5878

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INTRODUCTION

Welcome to the State of California's Voluntary Miscellaneous Payroll Deduction Program. Please take a few moments to review this handbook and become familiar with the state payroll system, and how the State Controller's Office (SCO) and the deduction client (you) work together to achieve a successful payroll deduction program for state employees. A basic understanding of the overall payroll deduction process should come in handy should you run into trouble or have questions down the road.

Specific requirements, policies, and conditions are outlined in the first two sections. Also included are operating instructions for maintaining the program, and the output you'll receive. The application forms necessary to begin participation in the program are located on the last two pages and are perforated for easy removal.

We hope this guide is useful in answering questions you may have regarding the deduction program. However, if you have a specific problem or question which is not addressed in this guide please contact the SCO Deduction Program Coordinator at (916) 322-7968 for direction.

GENERAL INFORMATION

Uniform State Payroll System

By law, the State Controller administers a uniform state payroll system for state agencies and the California State University campuses (except the University of California). The employment and payroll files are maintained within the State Controller's Office (SCO) and employing agencies/campuses report various personnel/payroll changes as the basis for updating the employment and payroll files. At prescribed times, employing agencies/campuses report required attendance information.

Based on the personnel and payroll information submitted by the agencies/campuses, SCO issues employees their salaries or wages and performs all of the state's payroll accounting and disbursement operations.

Upon receipt of the payroll warrants issued by SCO, the agencies/campuses verify that each warrant is drawn correctly. All correct warrants are released to the employees and incorrect warrants are returned to SCO and redeposited. Corrected warrants are subsequently reissued based on corrected attendance information and personnel change documents.

The Pay Schedule

State employees receive their earnings based on either a *negative* or *positive* attendance reporting schedule.

Under *negative* attendance reporting, SCO prepares the payrolls prior to the receipt of attendance reports. Payroll warrants are sent to the agencies in time to perform a reconciliation of the payrolls and attendance reports; and to ensure the timely delivery of the warrants to employees on the last working day of the pay period. Payroll warrants for monthly-paid employees are issued under this schedule.

Under *positive* attendance reporting, SCO prepares the payrolls after receipt of the attendance reports. Typically, these payroll warrants are released to the agencies/campuses by the fifth working day after the receipt of the attendance reports. The employing agency/campus may release the warrants to state employees immediately or hold the warrants for distribution on fixed dates. Payroll warrants issued under this schedule are for:

- hourly and daily salary rate employees
- employees on emergency-type appointments

- monthly salary rate employees at the Office of State Printing
- Psychiatric Technicians who are rank and file

The state pay plan consists of twelve "nearly equal pay periods" each year (except for academic and biweekly-paid employees and statutory officers). Each pay period contains either 21 or 22 normal workdays and will be a calendar month except when more than 22 or less than 21 normal workdays fall within a month, the pay period may end one day before or after the end of a calendar month.

Within a given pay period, employees may be paid once for the pay period (monthly); or twice (semi-monthly). For employees paid every other week (bi-weekly), their salaries are paid on the average of twenty-seven pay periods each year.

Payroll Deductions

A miscellaneous payroll deduction is a benefit provided by law to state employees. California Government Code Sections 1151 and 1152 set forth the specific purposes for which deductions can be withheld. The State Controller, in accordance with Government Code Section 1153, administers the voluntary miscellaneous payroll deduction program and has delegated this responsibility to the Chief of SCO's Personnel/Payroll Services Division (PPSD).

PPSD has the authority to approve requests from a deduction client interested in obtaining a deduction for purposes set forth under GC Sections 1151 and 1152. Within PPSD, the Miscellaneous Deduction Unit is responsible for the day-to-day deduction activities (processing deduction forms, etc.)

State employees who are paid under the Uniform State Payroll System are eligible to authorize deductions to be withheld from their paychecks, however, employees on emergency-type appointments and employees with the California State University camp- uses in the following classifications will not have deductions withheld from their pay.

Graduate Assistant
Instructional Faculty, Extra Quarter Assignment
Instructional Faculty, Executive Committee, Academic Senate
Instructional Faculty, Chairman, Academic Senate
Student Assistant
Student Assistant Trainee
Youth Summer Aid

Application Process

All deduction clients must satisfy the statutory requirements set forth under the Government Code to be eligible for payroll deduction privileges. In addition, an eligible deduction client must complete a *Hold Harmless Agreement* and a *Payroll Deduction Specifications Form* to apply. These two forms are included as the last two pages of this booklet.

The Hold Harmless Agreement contains the agreements and certified statements necessary for SCO to withhold deductions for deduction clients. The Payroll Deduction Specifications Form contains information that a deduction client must furnish to initiate the withholding and remitting of deductions (mailing address, payee's name, etc.).

Once a deduction company is approved, both the Hold Harmless Agreement and Payroll Deduction Specifications Form become the agreement between the deduction client and SCO to withhold deductions from state employees' pay.

Deduction monies withheld from state employee's pay are remitted to the deduction clients by SCO's Division of Disbursements. The disbursement operation is performed in the time and manner determined by SCO and within the guidelines of the Uniform State Payroll System.

1150.   As used in this article:

(a) "State employee" means all persons who receive wages for services through the uniform payroll system established and administered by the Controller under Section 12470.

(b) "Public agency" includes counties, cities, municipal corporations, political subdivisions, public districts, and other public agencies of the state.

(c) "Employee organization" means an organization which represents employees of the state or the California State University in their employer-employee relations, and which is registered with the Department of Personnel Administration or the Trustees of the California State University, or which has been recognized or certified by the Public Employment Relations Board.

(d) "Bona fide association" means an organization of employees and former employees of an agency of the state and the California State University, and which does not have as one of its purposes representing these employees in their employer-employee relations.

(e) "Deduction" does not include direct deposit by electronic fund transfer, as authorized by Sections 7506 and 12480.

1151. State employees may authorize deductions to be made from their salaries or wages for payment of one or more of the following:

(a) Insurance premiums or other employee benefit programs sponsored by a state agency under appropriate statutory authority.

(b) Premiums on National Service Life Insurance or United States Government Converted Insurance.

(c) Shares or obligations to any regularly chartered credit union.

(d) Recurrent fees or charges payable to a state agency for a program which has a purpose related to government, as determined by the Controller.

(e) The purchase of United States savings bonds in accordance with procedures established by the Controller.

(f) Payment of charitable contributions under any plan approved by the Board of Control in accordance with procedures established by the Controller.

(g) Passes, tickets, or tokens issued for a period of one month, or more, by a public transportation system.

(h) Deposit into an employee's account with a state or federal bank or savings and loan association located in this state, for services offered by that bank or savings and loan association.

(i) The purchase of any investment or thrift certificate issued by an industrial loan company licensed by this state.

1152. Deductions may be requested by employee organizations and bona fide associations from the salaries and wages of their members, as follows:

(a) Employee organizations may request membership dues, initiation fees, and general assessments, as well as payment of any other membership benefit program sponsored by the organization.

(b) Bona fide associations may request membership dues and initiation fees.

The Controller shall not be required to make any benefit deductions for an employee member whose membership dues are not deducted.

1153. The Controller shall provide for the administration of payroll deductions as set forth in Sections 1151 and 1152, and may establish, by rule or regulation, procedures for that purpose.

In administering payroll deductions the Controller shall:

(a) Make, cancel, or change a deduction at the request of the person or organization authorized to have the deduction. All requests shall be made on forms approved by the Controller.

(b) Obtain a certification from any state agency, employee organization, or business entity requesting a deduction that they have and will maintain an authorization to make the deduction, signed by the individual from whose salary or wages the deduction is to be made.

(c) Provide for an agreement from organizations and business entities receiving deductions or other deduction services to relieve the state, its officers and employees, of any liability that may result from making, canceling, or changing requested deductions. However, no financial

institution receiving a payroll deduction pursuant to this section shall be required to reimburse the state for any error in the payroll deduction received by that financial institution after 90 days from the month in which the payroll deduction was deducted from an individual's paycheck.

(d) Determine the cost of performing the requested deduction service and collect that cost from the organization, entity, or individual requesting or authorizing the deduction. Services requested which are incidental, but not necessary, to making the deduction may be performed at the Controller's discretion with any additional cost to be paid by the requester.

(e) Prior to making a deduction for an employee organization or a bona fide association, determine that the organization or association has been recognized, certified, or registered by the appropriate authority.

(f) Decline to make deductions for any individual, organization, or entity if the Controller determines that it is not administratively feasible or or practical to make the deduction, or if the Controller determines that the individual, organization, or entity requesting or receiving the deduction has failed to comply with any statute, rule, regulation, or procedure for the administration of deductions.

(g) Make, cancel, or change a deduction not later than the month subsequent to the month in which the request is received. All deductions, cancellations, or changes shall be effective when made by the Controller.

(h) At the request of a state agency, transfer employee deduction authorization for a state-sponsored benefit program from one provider to another if the benefit and the employee contribution remain substantially the same. Notice of the transfer shall be given by the Controller to all affected employees.

19849.11   Under Government Code Section 19849.11, the Department of Personnel Administration may establish benefit programs for managerial, confidential and excluded employees with respect to:

>   group life insurance
>   group disability insurance
>   long-term disability insurance
>   group automobile insurance
>   homeowner's and renter's insurance

The State may contribute all, part, or none of the cost of the above benefit programs, providing adequate funding has been appropriated by the Legislature.

89506   Education Code Section 89506 (a) states the Trustees, subject to such conditions as they may establish, may enter into contracts of:

> group life insurance
> group disability insurance
> medical service plan or nonprofit hospital service plan corporation

REQUIREMENTS

Deduction Code Numbers

Each approved deduction client will be assigned a six (6) digit payroll deduction code number (three (3) digit for PERS health benefit carriers.) The first three (3) digits are referred to as the *Deduction Code* which identifies the specific type of deduction (life, dental, health, vision, group legal, long term disability, etc.). And the last three (3) digits are referred to as the *Organization Code* which identifies the particular deduction client.

The combined six (6) digit deduction/organization code number is simply referred to as the *Deduction Code* and is a key element in the deduction program. It is used by SCO to withhold and remit deduction monies to the appropriate deduction client and appears on deduction materials and correspondence furnished to the deduction client.

It is important that approved deduction clients identify their deduction code number on all information and correspondence sent to SCO.

Deduction Description

Each approved deduction client will be assigned a deduction description which appears on the employee's statement of earnings and deductions (check stubs) as proof that the deductions they authorized were withheld and monies sent to the proper deduction client. This unique description is limited to eleven (11) alpha/numeric characters and is assigned by SCO at the same time as the deduction code.

Deduction Frequency

Deductions withheld from employee's salaries and wages are withheld only once a month regardless of the employee's pay frequency.

Personnel/payroll transactions can cause an employee's deduction not to be withheld or temporarily discontinued. When these transactions are processed by SCO, the deduction client will be notified, if requested. This notification is provided on a Form PR1740-A, Notice of Deduction Deletion or Temporary Discontinuance.

Deduction Amount

Only the normal monthly deduction can be collected through payroll deduction. If an employee's monthly net income is insufficient to withhold the entire deduction, SCO will not withhold any of the deduction.

Administrative Cancellations

Employee Initiated Cancellations

To cancel benefit insurance deductions, state employees should contact their personnel/payroll office to submit a cancellation document. SCO will forward a copy of the document to the carrier.

SCO Initiated Cancellations

Employees who become ineligible due to tenure, time base, or collective bargaining designation changes will have their benefit deduction cancelled. At this time, the employee and the carrier are notified.

Service Charges

By statutory requirement, SCO must determine and collect the cost involved in making payroll deductions. Charges are deducted from the total remittances sent to the deduction client, if applicable.

The Department of Personnel Administration (DPA) collects a service charge to cover the cost of administering certain benefit programs. These charges and SCO's are collected at the same time and in the same manner.

The service charge is based on the deduction volume (the number of transactions reported) during the reporting and remitting period, multiplied by a per-deduction rate. The rate used is determined by the type of input selected by a deduction client. These rates are subject to change annually, usually in August, and advance notification will be provided on these rate changes.

Effects of Personnel/Payroll Transactions

The most common types of personnel/payroll transactions submitted by employing agencies/campuses affecting deductions are described below.

New Employee

Deductions are withheld from a new state employee's salaries and wages only after the appointment is recorded within SCO for the employee. The employing agency/campus must submit the proper documentation to establish such records.

Salary Advance

Under certain circumstances, employing agencies/campuses will issue a salary advance to employees when a payroll warrant will not be issued by SCO until a later date. The salary advance payment is based on the amount due the employee less mandatory withholdings (taxes, retirement, medicare, and social security) and any miscellaneous voluntary deductions known by the employing agency/campus.

A salary advance is not a payment issued by SCO, and as such, deductions are not withheld or reported to deduction clients. Only until such time SCO issues the payroll warrant for the salary advance will any deductions be withheld and reported to the appropriate deduction clients.

Employee Transfers

Deductions will continue to be withheld by SCO when an employee transfers from one agency/campus to another; moves from one position or location to another within the same agency/campus; or upon other similar personnel/payroll transactions.

Insufficient Gross/Net

Deductions cannot be withheld when an employee has insufficient gross salaries and wages, or insufficient net. These employees must make payments or deposits directly to the deduction clients.

Inactive Pay Status

Deductions will not occur while an employee is on non-pay or inactive pay status:

- leave of absence without pay
- military leave
- maternity leave
- punitive suspension
- academic vacation
- intermittent employee who does not work

Deductions for employees on inactive pay status will be retained in the employee's record. Upon return of the employee to active pay status, the deduction will continue to be applied automatically.

Also, deductions will not be withheld from the last pay issued to an employee prior to the change to inactive pay status unless the change occurs at the close of the last work day of the pay period. This policy exists because the deduction withheld from the employee's pay for the previous pay period may constitute an advance payment for the calendar month in which the change to inactive pay status is effective.

Permanent Separation

Deductions will be terminated for employees who permanently separate from state service. Permanent separations are:

- resignation
- disability retirement
- service retirement
- dismissal
- layoff
- absence without approved leave
- death

Deductions will not be withheld from the final payment issued to a separating employee unless the separation occurs at the close of the last work day of the pay period. This policy exists because the deduction withheld from the employee's pay for the previous pay period may constitute an advance payment for the calendar month in which the separation is effective.

Disability

The three types of disability pay status are:

- Industrial Disability Insurance Leave (IDL)
- Non-Industrial Disability Insurance Leave (NDI)
- Temporary Disability (TD)

Deductions will be withheld from the payroll warrants issued for IDL and NDI pay. Employees on TD status with supplemental pay can have deductions withheld only if the employing agencies/campuses identify the deductions on the documentation submitted to SCO for TD pay, and the net amount permits.

Suspended Pay

Occasionally, SCO payments for salaries and wages are suspended (not issued) temporarily for various reasons such as non-sufficient funds, etc. When these

payments for prior pay periods are later issued, deductions will be withheld and reported to the appropriate deduction client.

Redeposits

Under certain circumstances, payroll warrants issued by SCO for salaries and wages are returned by the employing agencies/campuses, or held by SCO, and redeposited (cancelled). Deductions withheld from cancelled payments will be recovered from the deduction client. Such recovery will be made against the remittance sent to the deduction client following the redeposit, and identified as a credit (CR) entry on the corresponding Form CD446, Payroll Deduction Report. If a cancelled warrant is later reissued, deductions will be withheld and reported to the deduction client.

OPERATING INSTRUCTIONS

This section contains SCO's operating process where you will find useful information on the various deduction materials you will receive. With this information, in conjunction with the policies mentioned earlier in this handbook, deduction clients can establish an efficient state employee deduction operation within their own organization. As changes occur to any of the following information, advance notification will be provided.

Enrollment

Eligible state employees enroll for group benefit plans either by an automatic enrollment process, or by submitting an enrollment document. The automatic enrollment program is an internal SCO program which enrolls newly eligible employees in the basic life insurance and/or vision plans. Employees must complete and submit an enrollment document for basic health, dental, long-term disability and group legal plans.

Controller's Remittances and Reports

Beginning with the actual withholding of deductions, SCO will remit deduction monies and report deduction activities to deduction clients. As part of the remitting and reporting process, SCO will either forward to the deduction client a Controller's warrant or will directly deposit monies in a financial institution, along with a remittance advice.

Controller's Warrant

The Controller's warrant remits the deduction monies withheld and reflects the total net due the deduction client (deduction amount minus service charges, if applicable). Warrants will be mailed through the US Postal Service. This option is not available to prospective clients.

Direct Deposit

Prospective clients will receive payroll deduction monies through a Direct Deposit process, similar to electronic fund transfer. In order to enroll in the Direct Deposit program, simply complete a Form 699D-Direct Deposit Enrollment Form. The form is part of this package.

<u>Remittance Advice</u>

Each warrant is accompanied by a remittance advice. The remittance advice is a statement documenting the number of deductions withheld and the computation involved in determining the deduction service charge assessed during the reporting/remitting period. The remittance advice reflects the following:

<u>Item</u>	<u>Description</u>
Warrant No.	Warrant number on the accompanying SCO warrant.
Deduction Code	Six (6) digit payroll deduction code number assigned to the deduction client.
From	Location within SCO which issues the SCO warrant.
To	Name of the deduction client.
Deduction Period	Ending date of the issued payrolls in which deductions were withheld.
Total Deduction Amount	Total amount withheld for the deduction client during the deduction period (matches the total amount on the supporting Payroll Deduction Report).
Less Service Charges:	
Deduction Processing Charge	Amount charged by SCO to withhold deductions.
Net Payment	Amount written on the accompanying SCO warrant.

Warrants and remittance advices are released immediately after the close of the reporting/remitting period. If no deduction activity occurs during this period the deduction client will not receive any output.

Also, a warrant and remittance advice will not be sent to a deduction client as a result of a zero or negative (credit) balance. When a zero or negative balance occurs, a written notice will be sent to the deduction client.

When the total net due is a credit balance, current or future monies due the client will be used to offset the credit per California Government Code Section 12419.5. The credit will be collected by either reducing the current month remittance for another deduction code for the deduction client (if any), or by reducing the next month's remittance for the same deduction code.

Payroll Deduction Report

This report is the supporting information for the warrant and remittance advice. It is a detailed listing of reportable deductions withheld from employees' salaries and wages during the reporting and remitting period. The Payroll Deduction Report will be furnished to deduction clients via the Internet Reporting Process. The deduction report will be available by the first of the following month.

The following information is reported on the Payroll Deduction Report:

Item	Description
Deduction Name	Name of the deduction client as recorded in SCO files.
Deduction Code	Six digit deduction code number.
Date	The ending date of the reporting period. Either the 1st or the 16th of the month.
Social Security Number	Employee's Social Security Number.
Initials	Employee's first and middle initials
Surname	First thirteen characters of employee's last name.
Agency	Three digit numeric code identifying the employing agency/campus of the employee.
Reporting Unit	Three digit numeric code identifying either the appropriation within the agency/ campus fund from which the employee's salaries and wages are payable, or the function or location, or attendance reporting station of the employee.
Salary Rate	Employee salary rate information is printed for each employee listed. *(Applicable to Exclusive Representatives only.)*

Pay Period Type	One digit alpha or numeric code which identifies the type of pay period of the payment in which the deduction was withheld:

Codes	Explanation
0	Monthly
2	Semimonthly/Second Half
A	Biweekly/First of Pay Period Month
B	Biweekly/Second of Pay Period Month
C	Biweekly/Third of Pay Period Month
D	Biweekly/June Segment Spanning Two Fiscal Years
E	Biweekly/July Segment Spanning Two Fiscal Years

Pay Period - Month and Year	Pay period from which the deduction was withheld.
Deduction Code	Three digit Deduction Code Number.
Deduction Organization	Three digit Organization Code Number.
Deduction Amount	Amount withheld from the employee's pay warrant for the deduction client; or the amount owed to SCO by the deduction client for the particular employee (identified by "-" in the column).
Warrant Number	Number of the employee's warrant from whichthe deduction was withheld; or the number of a cancelled warrant previously issued where a deduction was withheld.
Deduction Amount	The total dollar amount of deductions remitted for this period.
Count	The number of transactions reported on the Payroll Deduction Report for this period.

When credit (-) deduction entries appear on the deduction reports, the total amount of the credits will be recovered by SCO from the total deduction amount due. Therefore, the total deduction amount on the last page of a deduction report and the net payment will include the credits.

Notice of Deduction Deletion or Temporary Discontinuance, PR1740A

The PR1740A, Notice of Deduction Deletion or Temporary Discontinuance is used by SCO to notify deduction clients of personnel/payroll documents which affect employees' deductions. These notices provide information on the following types of personnel/payroll transactions:

- Name Change
- Social Security Number Change
- Employment Status Change (separation, retirement, disability, leave of absence, punitive suspension, and inactive status)

An employee SSN or name change will not cause the employee's deduction to be deleted or temporarily discontinued. Instead, the employee's deduction will be reported on the Payroll Deduction Report under the new SSN or name. Generally, employment status changes will cause deductions to occur at a later date or not at all.

Deduction clients should use the PR1740A's to update their records and reconcile payroll deduction activities of the employees. Using the information provided on the PR1740A's and the explanation of personnel/payroll transactions in this handbook, deduction clients can determine whether deductions will or will not be withheld.

The PR1740A's are mailed once a week and only when personnel/payroll documents are processed by SCO for employees with this deduction. If a deduction client wants to receive PR1740A's, please indicate this on the Payroll Deduction Specifications sheet (Item #7), or submit a written request to the Deduction Program Coordinator (see Controller's Office Contact List).

The following information is printed on the PR1740A.

Item	Explanation
SSN	Employee's Social Security Number
Employee Name	First and middle initials, first 13 characters of last name.
Ded Code	Three digit Deduction Code Number
Org Code	Three digit Organization Code Number
Deduction Amount	Dollar amount of the deduction.
Effective Date	Effective month, day, year of the personnel/ payroll transaction.

Reason   Identifies the specific personnel/payroll transaction which results in the employee's deduction being terminated, temporarily discontinued, or reported elsewhere on the form. If the explanation indicates an SSN or name change, the new SSN or name will appear after the explanation.

If the explanation indicates a leave of absence, the return date (month, day, year) of the employee to active pay status will appear after the explanation.

INTERNET REPORTING PROCESS

The State Controller's Office established an Internet Payroll Deduction file reporting process to enable your organization to receive data via the Internet. The file contains detail of reportable deductions withheld from employees' salaries and wages during the pay period as listed in the deduction report.

This section contains the instructions and requirements for obtaining the deduction file from the State Controller's Office server. The requirements have been established to ensure an efficient operation between your organization and the State Controller's Office. These requirements must be met until the agreement to receive these files is terminated. Failure to comply with these requirements could terminate the agreement, preventing access of your organization's files.

The process of retrieving confidential data files from the SCO is extremely sensitive. File Transfer Protocol over SSL (or FTPS) is used for absolute authentication of clients and encryption for secure transmission of the data. We want our clients to feel comfortable with the level of security built into this process.

In order to participate in the Internet Payroll Deduction Reporting Process, you must first complete a Participation Request Form. This form must be signed by an authorized representative of your company. The form must be returned to our office for processing at least <u>one month prior</u> to the effective date indicated in item 2 on the Participation Request form.

Due to system constraints, we are unable to offer this process for the deduction code 035.

If your organization has been assigned multiple deduction/ organization codes, you will receive one file containing the data for all your assigned deduction/organization codes.

The file may be produced on either a semi-monthly or monthly basis:

Semi-monthly:

The first file will reflect deduction activity from the second of the month through the 16th of the month. The second file will reflect deduction activity from the 17th of the month through the first of the following month.

Monthly:

The file will reflect deduction activity from the second of the month through the first of the following month.

<u>File Availability</u>

The files will be available on the server as soon as our semi-monthly and monthly business month processes have completed. When this occurs, an e-mail notification will be sent informing you of their availability.

<u>File Retention</u>

The files will be maintained on the server for a period of ninety (90) days, after which time they will be purged. We suggest you immediately make back-up copies of any files obtained from the server.

File Transfer Protocol over SSL

FTP/SSL
This method is sometimes referred as FTP over SSL or FTPS. Conventional port number used for this protocol is 990. However, SCO has a proxy server as a front end to its mainframe, allowing the clients to connect to it. Clients are expected to use port 21 and ephemeral ports 50290 through 50299 as transitory data ports.

SCO supports Core FTP Lite, FileZilla (version 3.0.11 and older), WS-FTP Professional, and Reflection FTP Client. All four of them provide FTP/SSL. We are recommending Filezilla 3.0.11 for the SCO Payroll Deduction Reporting process and the following instructions are for using Filezilla. Additional documentation for Core-FTP, WS-FTP and Attachmate Reflection configuration can be provided upon request.

Once you have completed and submitted the Participation Request Form, the State Controller's Office Information Security Office will send you email with your userid and a phone number (916-322-8094) to call to receive your temporary password.

You can download Open Source FileZilla version 3.0.11 at
http://www.pcauthority.com.au/Download/114241,filezilla-3011.aspx.

Configuration

Choose the components to install
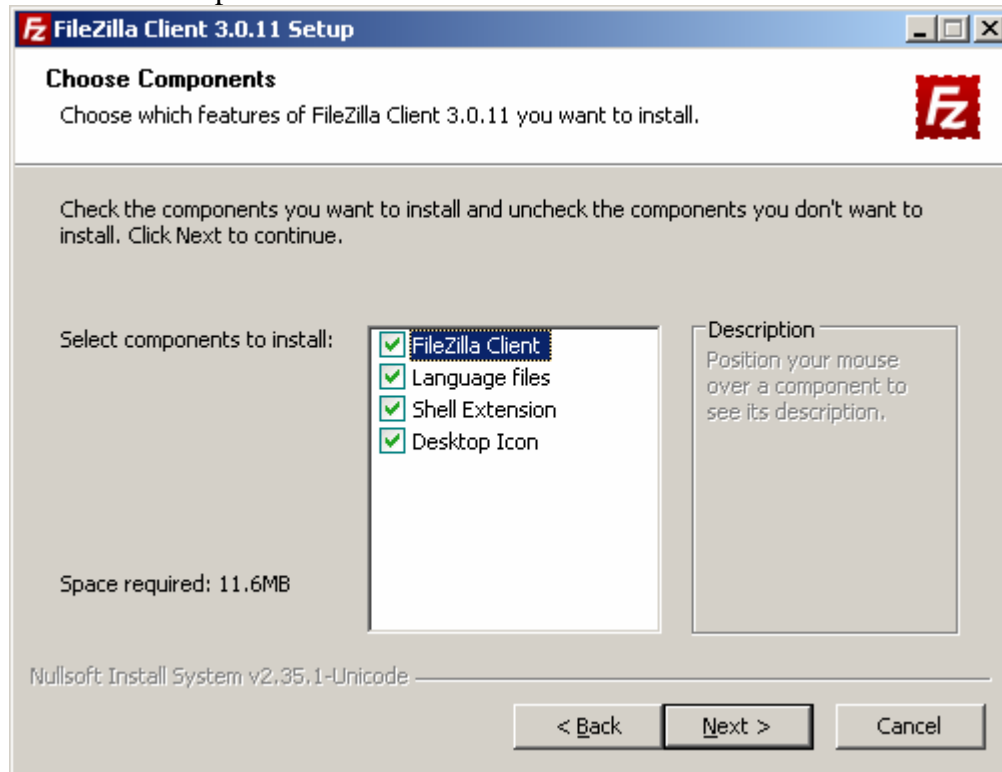
After starting up Filezilla go to Transfer, Transfer Type and choose the type (for most users it will be ASCII).
Next go to File, Site Manager, you will see a Site Manager popup.
Please set up a site to connect to the proxy as shown in the screen print.
Configure as follows:
New Site : name it to anything you'd like
Host: www2.sco.ca.gov
Port: 21
Connection: FTPES – FTP over explicit TLS/SSL
Logontype: Ask for password
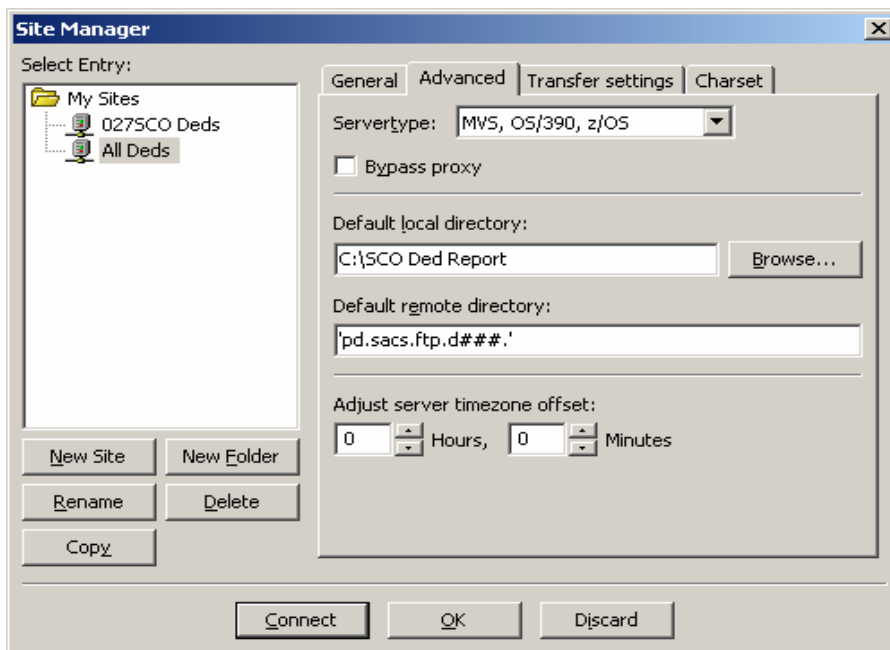**User:** Use the SCO mainframe user ID (provided by SCO)
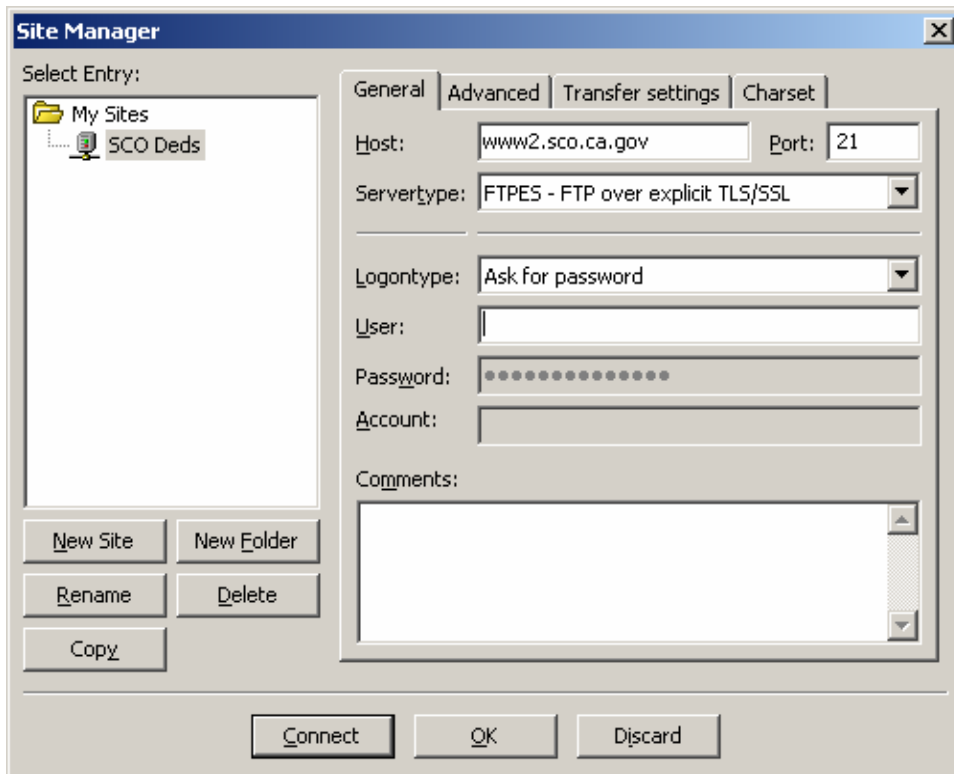
Go to Advanced Tab

Servertype: MVS, OS/390, z/OS

Default local directory: browse to the location you want to store your files

Default remote directory: 'pd.sacs.ftp.d###.' where ### is the file assigned to you by SCO (in the email with your user ID).

Click on Connect button, and you will see a popup on Certificate Information as follows.
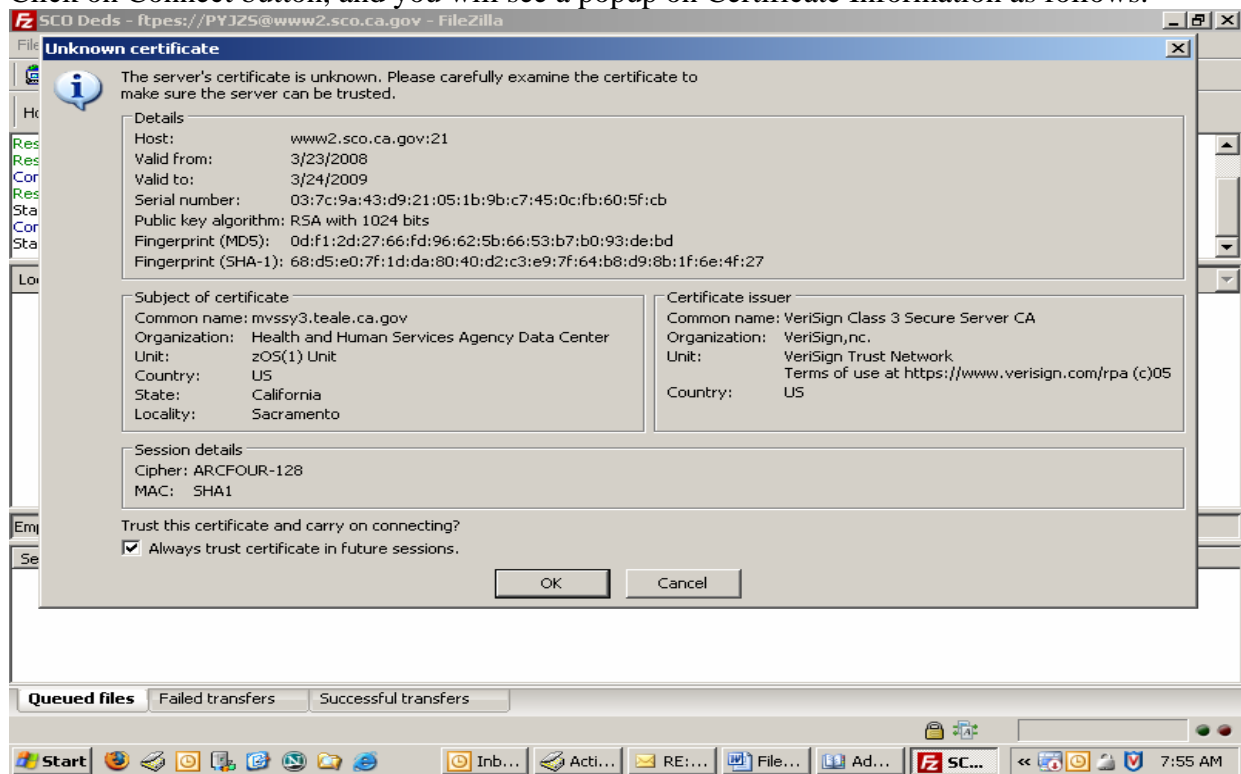
SCO Mainframe Password

Enter your mainframe Password when prompted.
If this is the first time you are using the user id, SCO security group has assigned you a temporary password. You need to change the password by entering the following combination without spaces and without quotes
currentpassword/newpassword/newpassword
In addition, FTP client software simply returns '530 password error' when your password is expired. When you suspect your password may have expired, try to change it by entering the combination of current password new password mentioned above.
SCO mainframe password rules are:

- o Passwords expire after 90 days
- o The system maintains 32 generations of password, so you should not reuse those
- o After 5 consecutive unsuccessful attempts, the user id will be revoked. You will need to contact SCO Information Security group to get the id resumed.
- o If the user id remains inactive 90 days, it will be revoked.
- o For password syntax, a strong password is required which must include at least one upper case letter, one lower case letter and a number.

File Transfer

Upon successful logon, you will see a screen with a list of the files in your mainframe home directory. Your files will be named using a specific convention. The naming convention for your files will be Month, File Designation Code, Reporting Frequency Indicator and Translation Indicator.

The file name will consist of Month (three characters), followed by a file designation code (three characters), followed by an "A" or a "B". The "A" indicates the file is a mid-month file (if your organization receives reports on a semi-monthly basis). The "B" indicates the file is a month-end file. The last character of the file name indicates whether the file is ASCii or EBCDIC translation. ASCii translation files will be indicated by the character "P", while EBCDIC translation files will be blank.

Sample file names are as follows:

JUL150A	July Mid-Month report-EBCDIC
JUL150AP	July Mid-Month report-ASCii
JUL150B	July Month-end report-EBCDIC
JUL150BP	July Month-end report-ASCii
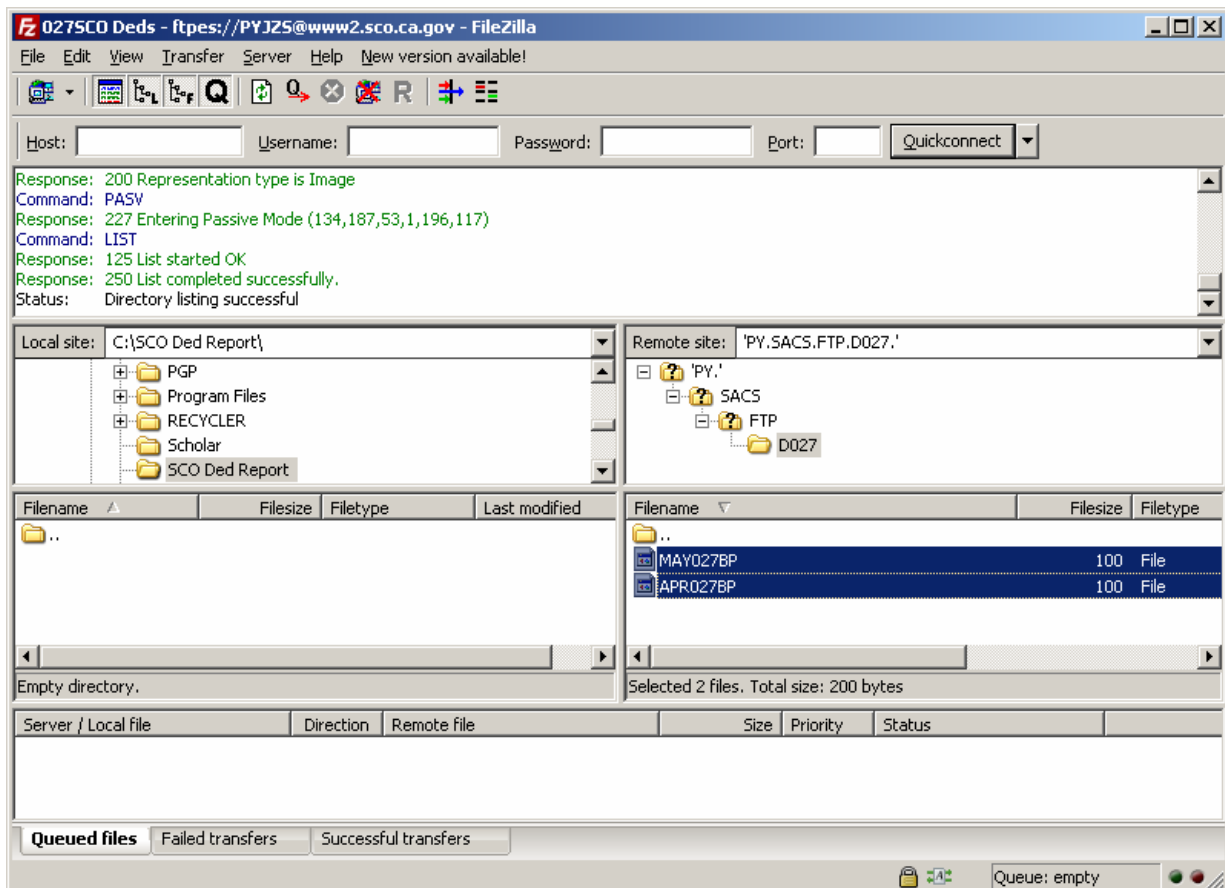
From here, you can click on the file you want to download and drag and drop to the target area, double click it or right click on it and choose download.

Opening Files

Close Filezilla and go to the **Default local directory** that you setup in the configuration process. You can open the file by double clicking it and choosing the program to open it with or opening excel, go to file open, browse to the **Default local directory,** change Files of Type to 'All Files (*.*)' and then choosing the file name. This will take you through the wizard to format your report.

File Characteristics

The State Controller's Office will generate two types of files for each organization, EBCDIC formatted and ASCII formatted.

Characteristics of the EBCDIC formatted file:

The file will contain two types of records, one for detail and the other a total record. Please refer to the file descriptions and layouts beginning on page 58. The logical record length is 90 characters.

Characteristics of the ASCII formatted file:

The file will contain two types of records, one for detail and the other a total record. Please refer to the file descriptions and layouts beginning on page 58. The logical record length is 106 characters.

Common Errors

The most common errors are invalid login userid or password. If you cannot remember your password or suspect it's expired, please contact SCO Information Security Office at (916) 322-8094.

If you can connect and login to the system but cannot see the directory listing, you may have problems receiving the data. Have your firewall admin confirm that ports 50290 through 50299 are enabled.

For any problems related to the nature of the data file, contact the Deduction Program Coordinator at (916) 322-7968 on Monday through Friday, 0800 through 1700.
For any problems related to the connection, contact DTS Help Desk at (916) 464-4311.

Participation Request Form

The Participation Request form details the characteristics of the file and initiates the agreement between your organization and the State Controller's Office.

A blank Participation Request form is included in this package on pages 54-55. You must submit this Participation Request form to our office for processing. A copy of the completed Participation Request form should be retained by your organization for future reference. Complete and return the form to the Deduction Program Coordinator at least <u>one month prior</u> to the effective date indicated in item 2 on the Participation Request form (see form for routing address). The effective date must be the first reporting period of the month being requested.

Once your request has been received and processed, the Deduction Program Coordinator will contact your organization with further information to proceed in requesting a security certificate. Upon issuance of an approved certificate, SCO will establish your file process in our production environment.

The Deduction Program Coordinator must be notified *in writing* when there is a change to any of the information provided on the Participation Request form or if your organization wishes to terminate the process of receiving files via the Internet. Notification must be sent at least <u>one month prior</u> to the effective month changes are to occur.

Reconciliation of Deduction Activity

SCO does not reconcile deduction activity for the deduction client. Deduction clients should not contact SCO regarding non-withholding of a deduction for an employee unless the deduction has not been made for two consecutive months.
Deduction clients should research Form PR1740A's and verify if Form CD88's were returned due to errors or the employee submitted an administrative deduction cancellation request.

Initially, deductions not withheld are due to: 1) incorrect data or future pay periods on Form CD88, and 2) late receipt of Form CD88's. Subsequent deduction problems usually involve delayed pay which will be reflected in later reporting of deductions.

Contact List

• Questions regarding the deduction program or non-receipt of deduction material:

       SCO, Deduction Program Coordinator   (916) 322-7968

• Problems or questions regarding magnetic media:

       SCO, Magnetic Media Coordinator   (916) 322-0663

• If you want to establish or have a question regarding courier pick up:

       SCO, Supervisor, Warrant Release Desk   (916) 324-0121

• Questions regarding an employee's status, or the processing of deduction forms:

       SCO, Miscellaneous Deductions Unit   (916) 324-1981

APPLYING FOR PROGRAM PARTICIPATION

To apply for payroll deduction privileges, complete and submit the Hold Harmless Agreement, Payroll Deduction Specifications Form and Internet Participation Request Forms to SCO. All forms must be submitted together–one form without the other, or forms with missing/illegible information, will delay the SCO approval process. If more than one deduction code is being requested, separate forms must be completed for each code. A copy of the completed forms should be made and retained by the deduction client.

Hold Harmless Agreement

This form contains certified statements and a hold harmless agreement or waiver of liability that a deduction client must enter into with SCO for payroll deduction privileges.

Payroll Deduction Specifications

This form provides SCO with key information needed to establish your file on our system to begin withholding and remitting monies. The information below explains each item on the form in detail to help you to determine your needs regarding the reporting of payroll deductions.

1. FULL NAME OF DEDUCTION CLIENT—Enter the official name of the organization to be recorded in SCO files.

2. PAYEE NAME—Enter the exact payee name to be written on the warrant. Maximum 70 characters.

3. STAFF AUTHORIZED TO SIGN FORM CD88 AND OTHER CORRESPONDENCE—Your organization must authorize representatives to sign Form CD88 and other correspondence directed to SCO including any changes to information in your file, such as address changes. All documents submitted to SCO without an authorized signature will be returned unprocessed. If more space is needed, attach a separate sheet.

4. MAILING ADDRESS—Enter the complete mailing address where payroll deduction warrants, reports, and other deduction-related materials will be sent. You must specify *one* mailing address where *all* deduction information and materials will be mailed.

It is the deduction client's responsibility to immediately notify SCO in writing of an address change. Failure by a deduction client to provide timely notification can delay the receipt of deduction monies, reports, and other pertinent deduction materials.

5. PAYROLL DEDUCTION REPORT FORMAT—The Payroll Deduction Report can be produced in several formats.

    Sequence (check one):

alphabetic	Employees will be listed in surname order; or,
numeric	Employees will be listed in Social Security Number order.

    Totals (check one):

final totals only	The total count and deduction amount will be printed at the end of the report only; or,
subtotals	In addition to the final totals of count and deduction amount, a subtotal of these is also provided.

    If report sequence is alphabetic, the subtotals will be printed after each block of surnames (blocking is by first letter of surname). If report sequence is numeric, the subtotals will be printed after each block of SSN's (blocking is by the first three digits of SSN's).

6. WE REQUEST MAGNETIC MEDIA INFORMATION ON—If you are interested in receiving further information regarding magnetic media input, please indicate the option(s) you desire, otherwise leave blank.

7. DO YOU WISH TO RECEIVE FORM PR1740A?—Indicate if you wish to receive this report as described earlier in this handbook.

8. REPORTING FREQUENCY—You have the choice of either *semi-monthly* or *monthly* reporting frequency.

    Under a *semi-monthly* reporting frequency, SCO will remit monies and report deduction activities twice a month, the 1st and 16th. A warrant, remittance advice, and the Payroll Deduction Report, dated the 1st include deduction activity from payrolls issued from the 17th of one month through the 1st of the following month. The 16th includes deduction activity from payrolls issued from the 2nd through the 16th of a month.

If mid-month activity results in a credit (CR) amount or warrants for less than $100 for three monthly reporting periods, SCO will change the reporting frequency to a monthly basis. The affected deduction client will be notified of the reporting frequency change and may again request the semi-monthly reporting option when there is sufficient activity. Requests for renewed semi-monthly reporting should be made by contacting the Deduction Program Coordinator (see Controller's Office Contact List).

Under the *monthly* reporting frequency, SCO will remit monies and report deduction activities once a month on the 1st. These remittances and reports include deduction activity from payrolls issued from the 2nd of one month through the 1st of the following month.

9. CONTACT PERSON—Enter the name, address, and telephone number of a representative from your organization. The facsimile or FAX number will be kept on file and used only if necessary. (Deduction material will *not* be faxed on a regular basis.)

10. (CERTIFICATION)—Enter the signature, printed name, and title of an authorized representative of your organization, and the date signed in the spaces provided.

Changes to Deduction Client Specifications

All information submitted on the Payroll Deduction Specifications form may be changed at any time through a written request. The request must include your six (6) digit deduction code, and it must be signed by an authorized individual (see Item #3).

Failure to notify SCO of any mailing address or authorized signature changes in a timely manner will delay the receipt of deduction monies or other pertinent information concerning deduction policies and procedures. Please keep this information current.

Sample Remittance Advice

	STATE OF CALIFORNIA	DEDUCTION CODE
WARRANT NO. 00123456	REMITTANCE ADVICE	051-999

FROM   STATE CONTROLLER'S OFFICE
               DIVISION OF DISBURSEMENTS

TO   CALIFORNIA CREDIT UNION

PAYROLL DEDUCTIONS FOR PERIOD ENDING JUN 01, 1998

TOTAL DEDUCTED AMOUNT $12,235.67
LESS SERVICE CHARGES:

      DEDUCTION PROCESSING CHARGE
      (1,234 DEDUCTIONS TIMES $0.17890)   220.76

                                           220.76
EQUALS NET PAYMENT $12,014.91

Sample PR1740-A

STATE OF CALIFORNIA - - PAYROLL SYSTEM

PROGRAM ID PR1740-A
MACHINE DATE 03/03/98
MACHINE TIME 20:17:00   NOTICE OF DEDUCTION DELETION OR TEMPORARY DISCONTINU-
                               ANCE

SSN	EMPLOYEE NAME	DED CODE	ORG CODE	DEDUCTION AMOUNT	EFFECTIVE DATE	EXPLANATION
999-99-9999	J L GASKINS	021	051	265.00	02/23/98	LEAVE OF ABSENCE UNTIL 07/01/98
999-99-9999	F A SCHWARTZ	021	051	105.00	02/19/98	RETIRED FROM STATE 03/01/98
999-99-9999	B W SMITH	021	051	75.00	02/22/98	SEPARATED FROM STATE 03/01/98

   SUBTOTALS FOR DED CODE 021   ORG CODE 051   NOTICES PRINTED 3   NOTICES PUNCHED 0

HOLD HARMLESS AGREEMENT
PURSUANT TO GOVERNMENT CODE SECTION 1153(c)

_____
(Client name - Please type or print in ink)

hereby requests payroll deductions for benefit premiums.

1. We certify that the eligibility requirements in Government Code Sections 1151 and 19849.11 are satisfied and will continue to be satisfied as long as payroll deductions are being withheld for the above named client.

2. We have read and accept the policies, methods, and procedures of the State Controller's Office relating to payroll deductions as outlined in the Deduction Program Handbook.

3. We agree to notify the State Controller's Office immediately of any changes required to the payroll deductions of the above named client.

4. We agree, in consideration for and as a condition of the State Controller withholding and transmitting payroll deductions authorized by Government Code Sections 1151 and 19849 to hold harmless, the State of California, its officers and employees from any liability that may result from making, canceling or changing these deductions.

_____
Signature of Authorized Official

_____
Name of Authorized Official

_____
Title

_____
Date

State of California
STATE CONTROLLER'S OFFICE
PAYROLL DEDUCTION SPECIFICATIONS-BENEFITS
(Rev. 1/98)

Do not complete this form until you have read the instructions outlined in the accompanying Deduction Program Handbook.

Please type or print legibly

1. Full Name of Deduction Client:

2. Payee Name:

3. Complete Mailing Address:

4. We request magnetic media information on:

☐ Input

5. Payroll Deduction Report Format:

Sequence *(Check One):* ☐ Alphabetic   ☐ Numeric

Totals *(Check One):* ☐ Final Totals Only   ☐ Subtotals

6. Reporting Frequency *(Check One):*

☐ Monthly   ☐ Semi-Monthly

7. I AGREE TO THE REQUIREMENTS AND CONDITIONS SET FORTH IN THE ACCOMPANYING CORRESPONDENCE.

Signature of authorized representative

▶

Printed name

Title   Date

8. Contact person:

Phone ( )   Fax ( )

Send completed forms to: Deduction Program Coordinator, State Controller's Office, Personnel/Payroll Services Division, P O Box 942850, Sacramento, CA 94250-5878.

OFFICE OF THE STATE CONTROLLER
INTERNET PAYROLL DEDUCTION REPORTING
PARTICIPATION REQUEST FORM

TO: State Controller's Office
      PPSD/Systems Activities Coordination & Support
      P. O. Box 942850
      Sacramento, CA. 94250-5878
      Attention: Deduction Program Coordinator

RE: INTERNET PAYROLL DEDUCTION REPORTING PROCESS
      1. We hereby request the State Controller's Office begin transmitting our company/organization
          Payroll Deduction data via the Internet. Our deduction/organization codes are as follows:

      Deduction/Org Code ___ /___   Deduction/Org Code ___ /___   Deduction/Org Code ___ /___
      Deduction/Org Code ___ /___   Deduction/Org Code ___ /___   Deduction/Org Code ___ /___
      Deduction/Org Code ___ /___   Deduction/Org Code ___ /___   Deduction/Org Code ___ /___

            *If additional space is needed, please attach a separate page and submit with this form. It is
            imperative that all deduction/organization codes be listed as only those codes will appear in
            the Internet file.*

      2. We would like to receive Internet files beginning   _____ / _____ / _____ .
                                                              (Month/Day/Year)
          *This request form must be received in our office at least 1 month prior to the above reporting
          period.*

      3. The name, e-mail address, phone # and IP address of the representative(s) from your organization
          that will be performing the actual file transfers:

      Name(First MI Last)_____ Phone number_____
      E-mail address_____

      Name(First MI Last)_____ Phone number_____
      E-mail address_____

      Name(First MI Last)_____ Phone number_____
      E-mail address_____

      Name(First MI Last)_____ Phone number_____
      E-mail address_____

            *Note: It is extremely important that the e-mail addresses provided be the individuals who
                       will ultimately be performing the file transfers. Information provided on the
                       Participation Request form will be used to validate and authorize your request for
                       system access.*

4. The representative from your organization to whom the State Controller's Office can direct inquiries in the event problems are encountered is:

Name   _____   Phone number_____
E-mail address   _____

5. We agree to notify the State Controller's Office *in writing* of <u>any</u> change or should we desire to terminate this agreement.

6. We agree to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses that may result from reporting of payroll deduction information.

7. We understand and agree to the requirements and conditions set forth for receiving these deduction files through SCO's secure server. The undersigned signature is a person authorized to sign payroll deduction authorizations and constitutes validation of the requesting source.

_____
Name of Company/Organization

_____   _____
Name of Authorized Representative   *Phone*

_____   _____
Signature of Authorized Representative   *Title*

_____
     Date

STATE OF CALIFORNIA

DIRECT▶DEPOSIT

ENROLLMENT AUTHORIZATION (DEDUCTIONS)

Form 699D (New 4-00)

This authorization remains in full force and effect until the State Controller's Office receives written notification from the organization of it's termination, or until the State Controller's Office deems it necessary to terminate the agreement.

- COMPLETION INSTRUCTIONS AND PRIVACY NOTICE ARE ON THE REVERSE SIDE OF THIS FORM. PLEASE TYPE OR USE BALL POINT PEN-PRINT CLEARLY.

SECTION A

1. TYPE OF ENROLLMENT ACTION	2. DEDUCTION/ORGANIZATION CODE(S)
1. ☐ NEW (Sections A, B, C & D Must Be Completed)	_ _ _ - _ _ _ _ _ _ - _ _ _ _ _ _ - _ _ _
2. ☐ CHANGE (Sections A, B, C & D Must Be Completed)	3. ORGANIZATION NAME (Alpha Characters Only)

SECTION B

1. TYPE OF ACCOUNT   MUST BE CHECKED. IF LEFT BLANK, WILL BE PROCESSED AS CHECKING.

☐ C (Checking)   ☐ D (Savings)

Verify Routing/Depositor Numbers with Financial Institution

2. ROUTING NUMBER	3. DEPOSITOR ACCOUNT NUMBER
☐☐☐☐☐☐☐☐☐	

4. FINANCIAL INSTITUTION NAME

5. FINANCIAL INSTITUTION ADDRESS	(Number and Street)	City	State	Zip

SECTION C

This organization (named in Section A(3) above) hereby authorizes the State Controller's Office to provide for Direct Deposit of any deduction monies due this organization, less any mandatory withholding of service charges or overpayments, in the above designated account.

If at any time the amount of the deduction monies so deposited exceeds the amount of deduction monies actually due and payable to this organization, the State Controller's Office is hereby authorized to either:

(a) Withhold a sum equal to the overpayment from future payments; or
(b) Recover such overpayment from the above designated account.

If the State is legally obligated to withhold any part of these payments for any reason, or if this organization no longer meets eligibility requirements for the deduction program, the State Controller's Office may terminate this organization's Direct Deposit enrollment.

If any action taken by this organization results in nonacceptance of a Direct Deposit by a designated financial institution, this organization understands that the State assumes no responsibility for processing a supplemental deduction payment until the amount of the nonaccepted deposit is returned to the State by the financial institution.

I HEREBY CERTIFY THAT I AM THE DULY APPOINTED, QUALIFIED AND ACTING OFFICER OF THE HEREIN NAMED AND THAT, BEING SO AUTHORIZED, DO CERTIFY THAT THE ABOVE INFORMATION IS ACCURATE AND TRUE.	AUTHORIZED ORGANIZATION SIGNATURE ▶	DATE

SECTION D

FOR SCO ONLY	2. REMARKS	3. E-MAIL ADDRESS
1. DATE RECEIVED BY SCO MO. DAY YR.		
4. EFFECTIVE DATE MO. DAY YR.		5. TELEPHONE NUMBER ( )

PLEASE READ THIS INFORMATION CAREFULLY

COMPLETION INSTRUCTIONS

To enroll in Direct Deposit, complete this form as follows:

General Instructions

• Complete Sections A, B, C and D

Specific Instructions

• Section A (Item 1) Type of Enrollment Action

New-Complete for new enrollment or re-enrollment after cancellation.

Change-Complete to change type of account, financial institution or branch (routing number), or depositor account number.

(Item 2) Deduction/Organization Code

Enter up to 3 of your SCO assigned Deduction/Organization codes. If you have more than 3 codes, attach a separate listing showing all Deduction/Organization codes assigned to your organization.

• Section B (Item 1) Indicate checking OR savings. Only one box must be checked. If left blank SCO will automatically process as checking.
(Item 2) Enter Routing Number and
(Item 3) Enter Depositor Account Number

IMPORTANT: PLEASE VERIFY YOUR DEPOSITOR ACCOUNT NUMBER AND ROUTING NUMBER WITH YOUR FINANCIAL INSTITUTION

Your direct deposit record will be activated within 15 to 20 days after your form is received by the Controller's Office.

• Section C-The authorized signature must be that signature currently on file with the State Controller's Office.

DIRECT DEPOSIT POSTING DATES

Funds for regular monthly or semi-monthly remittances should be on the first banking day after the close of the business month. For example, if the business month closes on a Wednesday, funds should be available no later than Thursday. If the business month closes on a Friday, a weekend, or a holiday, funds should be available no later than the first banking day following.

If you have more than one SCO assigned Deduction/Organization code, you will receive a Direct Deposit payment for each Deduction/Organization code assigned.

While most financial institutions post funds to accounts at the beginning of the bank business day, this is not a universal practice. Some institutions post funds in the afternoon instead of the morning. It is strongly recommended that you check with your financial institution to determine when your funds will be available.

CHANGING FINANCIAL INSTITUTION OR DEPOSITOR ACCOUNTS

Your Direct Deposit will continue to be deposited into your designated account at your financial institution until the State Controller's Office is notified that you wish to redesignate your account and/or your financial institution. To redesignate, complete and submit a new Form 699D with the new information. DO NOT CLOSE YOUR OLD ACCOUNT UNTIL YOUR FIRST PAYMENT IS DEPOSITED INTO YOUR NEWLY DESIGNATED ACCOUNT AND/OR FINANCIAL INSTITUTION. Until your record is activated, you will receive a paper warrant.

PRIVACY NOTICE

The Information Practices Act of 1977 (Civil Code Section 1798.17) and the Federal Privacy Act (Public Law 93-579) require that this notice be provided when collecting confidential information. Information requested on this form is used by the State Controller's Office for the purposes of identification and enrollment processing. It is mandatory to furnish all information requested on this form except for financial institution name, address and branch number or name. Failure to provide the mandatory information may result in the enrollment action not being processed or being processed incorrectly.

Legal references authorizing maintenance of this information include Government Code Sections 1151 and 1153. Copies of the Enrollment Authorization are maintained in confidential files of the State Controller's Office for six years. Organizations have the right of access to copies of their Enrollment Authorization forms upon request. The official responsible for maintenance of the forms is: Chief of Personnel/Payroll Operations Bureau, State Controller's Office, P.O. Box 942850, Sacramento, California 94250-5878.

MISCELLANEOUS DEDUCTIONS - DETAIL RECORD

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
1 - 9	Social Security Number	9	X(9)	Social Security Number or Interim Number
10	First Initial	1	X	
11	Middle Initial	1	X	Employee Name
12 - 24	Surname	13	X(13)	
25 - 27	Agency Code	3	X(3)	Identifies the employing agency
28 - 30	Reporting Unit	3	X(3)	Identifies the employing unit
31 - 37	Salary Rate	7	S9(5)V99	Employee's salary rate

NOTE: For other than exclusive representatives, this field is only available upon approval from SCO.

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
38 - 44	Filler	7	X(7)	Blank
45	Pay Period Type	1	X	Identifies the pay period type (i.e. monthly = 0, 1st semi-monthly = 1, 2nd semi-monthly = 2, etc.)
46 - 47	Pay Period Century	2	9(2)	Identifies the pay period of the deduction
48 - 49	Pay Period Year	2	9(2)	
50 - 51	Pay Period Month	2	9(2)	
52 - 54	Deduction Code	3	X(3)	Identifies the deduction code
55 - 57	Organization Code	3	X(3)	Identifies the organization code
58 - 64	Deduction Amount	7	S9(5)V99	The dollar amount of the deduction
65 - 72	Warrant Number	8	X(8)	Identifies the warrant/payment from which the deduction was made
73	Format Code	1	X	Indicates the type of record - Either a '4' or '6' will be entered in this field
74	Flex Deduction Indicator	1	X	SCO processing only
75	Filler	1	X	Blank
76 - 78	File Designation Number	3	9(3)	File number assigned to your organization
79 - 89	Filler	1	X(11)	Blank
90	Record Type	1	X	Identifies the record type - 'D' = Detail Record

MISCELLANEOUS DEDUCTIONS
TOTAL RECORD

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
1 - 20	Filler	20	X(20)	Blank
21 - 30	Total Deduction Amount	10	S9(8)V99	The total deduction amount reported
31 - 36	Total Deduction Count	6	X(6)	The total number of records reported
37 - 51	Filler	13	X(15)	Blank
52 - 54	Deduction Code	3	X(3)	Identifies the deduction code to which the totals apply
55 - 57	Organization Code	3	X(3)	Identifies the organization code to which the totals apply
58 - 75	Filler	18	X(18)	Blank
76 - 78	File Designation Number	3	9(3)	File number assigned to your organization
79 - 89	Filler	24	X(11)	Blank
90	Record Type	1	X	Identifies record type - 'T' will be entered for Total Record

HEALTH BENEFITS - DETAIL RECORD

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
1 - 9	Social Security Number	9	X(9)	Social Security Number or Interim Number
10	First Initial	1	X	
11	Middle Initial	1	X	Employee Name
12 - 24	Surname	13	X(13)	
25 - 27	Agency Code	3	X(3)	Identifies the employing agency
28 - 30	Reporting Unit	3	X(3)	Identifies the employing unit
31 - 37	State Share Amount	7	S9(5)V99	The amount of the employer's share applied toward the insurance premium
38 - 44	Total Premium Amount	7	S9(5)V99	The gross premium amount (sum of the employee share and the employer share)
45	Pay Period Type	1	X	Identifies the pay period type (i.e. monthly = 0, 1st semi-monthly = 1, 2nd semi-monthly = 2, etc.)
46 - 47	Pay Period Century	2	9(2)	Identifies the pay period of the deduction
48 - 49	Pay Period Year	2	9(2)	
50 - 51	Pay Period Month	2	9(2)	
52 - 54	Deduction Code	3	X(3)	Identifies the deduction code
55 - 57	Filler	3	X(3)	Blank
58 - 64	Deduction Amount	7	S9(5)V99	The amount of the employee's share applied toward the insurance premium
65 - 72	Warrant Number	8	X(8)	Identifies the warrant/payment from which the deduction was made
73	Format Code	1	X	Indicates a Health benefit record - '1' will always be entered in this field.
74	Flex Deduction Indicator	1	X	SCO processing only
75	Filler	1	X	Blank
76 - 78	File Designation Number	3	9(3)	File number assigned to your organization
79 - 89	Filler	8	X(11)	Blank
90	Record Type	1	X	Identifies the record type - 'D' = Detail Record

HEALTH BENEFITS
TOTAL RECORD

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
1 - 10	Total State Share Amount	10	S9(8)V99	The total amount of the employer share (applied toward insurance premium) reported
11 - 20	Total of Total Premium Amount	10	S9(8)V99	The total amount of premium reported
21 - 30	Total Deduction Amount	10	S9(8)V99	The total amount of the employee share (applied toward insurance premium) reported
31 - 36	Total Deduction Count	6	9(6)	The total number of deductions reported
37 - 51	Filler	15	X(15)	Blank
52 - 54	Deduction Code	3	X(3)	Identifies the deduction code to which the totals apply
55 - 75	Filler	21	X(21)	Blank
76 - 78	File Designation Number	3	9(3)	File number assigned to your organization
79 - 89	Filler	11	X(11)	Blank
90	Record Type	1	X	Identifies record type - 'T' will be entered for Total Record

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DENTAL/VISION - DETAIL RECORD

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
1 - 9	Social Security Number	9	X(9)	Social Security Number or Interim Number
10	First Initial	1	X	
11	Middle Initial	1	X	Employee Name
12 - 24	Surname	13	X(13)	
25 - 27	Agency Code	3	X(3)	Identifies the employing agency
28 - 30	Reporting Unit	3	X(3)	Identifies the employing unit
31 - 37	State Share Amount	7	S9(5)V99	The amount of the employer's share applied toward the insurance premium
38 - 44	Total Premium Amount	7	S9(5)V99	The gross premium amount (sum of the employee share and the employer share)
45	Pay Period Type	1	X	Identifies the pay period type (i.e. monthly = 0, 1st semi-monthly = 1, 2nd semi-monthly = 2, etc.)
46 - 47	Pay Period Century	2	9(2)	Identifies the pay period of the deduction
48 - 49	Pay Period Year	2	9(2)	
50 - 51	Pay Period Month	2	9(2)	
52 - 54	Deduction Code	3	X(3)	Identifies the deduction code
55 - 57	Organization Code	3	X(3)	Identifies the organization code
58 - 64	Deduction Amount	7	S9(5)V99	The amount of the employee's share applied toward the insurance premium
65 - 72	Warrant Number	8	X(8)	Identifies the warrant/payment from which the deduction was made
73	Format Code	1	X	Indicates a Dental benefit record - '1' will always be entered in this field.
74	Flex Deduction Indicator	1	X	SCO processing only
75	Filler	1	X	Blank
76 - 78	File Designation Number	3	9(3)	File number assigned to your organization
79 - 89	Filler	11	X(11)	Blank
90	Record Type	1	X	Identifies the record type - 'D' = Detail Record

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DENTAL/VISION
TOTAL RECORD

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
1 - 10	Total State Share Amount	10	S9(8)V99	The total amount of the employer share (applied toward insurance premium) reported
11 - 20	Total of Total Premium Amount	10	S9(8)V99	The total amount of premium reported
21 - 30	Total Deduction Amount	10	S9(8)V99	The total amount of the employee share (applied toward insurance premium) reported
31 - 36	Total Deduction Count	6	9(6)	The total number of deductions reported
37 - 51	Filler	15	X(15)	Blank
52 - 54	Deduction Code	3	X(3)	Identifies the deduction code to which the totals apply
55 - 57	Organization Code	3	X(3)	Identifies the organization code to which the totals apply
58 - 75	Filler	18	X(18)	Blank
76 - 78	File Designation Number	3	9(3)	File number assigned to your organization
79 - 89	Filler	11	X(11)	Blank
90	Record Type	1	X	Identifies record type - 'T' will be entered for Total Record

INTERNET DEDUCTIONS
DETAIL RECORD

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
1	Record Type	1	X	Identifies the record type - 'D' will be entered for Detail Record
3 - 11	Social Security Number	1	X	Social Security Number or Interim Number
13	First Initial	1	X	
15	Middle initial	1	X	
17 - 29	Surname	13	X(13)	Employee Name
31 - 33	Agency Code	3	X(3)	Identifies the employing agency
35 - 37	Reporting Unit	3	X(3)	Identifies the employing unit
39 - 47	Total Premium Amount	9	X(9)	The gross premium amount (sum of the employee share and the employer share)
49	Pay Period Type	1	X	Identifies the pay period type (i.e. monthly = 0, 1st semi-monthly = 1, 2nd semi-monthly = 2, etc.)
51 - 52	Pay Period Month	2	9(2)	Identifies the pay period of the deduction
54 - 57	Pay Period Century/Year	4	9(4)	
59 - 61	Deduction Code	3	X(3)	Identifies the deduction code
63 - 65	Organization Code	3	X(3)	Identifies the organization code
67 - 75	Deduction Amount	9	X(9)	The dollar amount of the deduction
77 - 84	Warrant Number	8	X(8)	Identifies the warrant/payment from which the deduction was made
86	Format Code	1	X	Indicates the type of record - Either a '4' or '6'
88	Flex Deduction Indicator	1	X	Indicates if deduction is flexible benefits
90 - 92	File Designation Code	3	X(3)	Indicates file number assigned by SCO
94 - 96	Sortable Reporting Unit	3	X(3)	
98 - 106	State Share or Salary Rate	9	X(9)	The amount of the employer's share applied toward the insurance premium

INTERNET DEDUCTIONS
TOTAL RECORD

LOCATION	FIELD	LENGTH	PICTURE	DESCRIPTION
1	Record Type	1	X	Identifies record type - 'T' will be entered for Total Record
3 - 14	Total State Share Amount	12	X(12)	The total amount of the employer share (applied toward insurance premium) reported
16 - 27	Total Premium Amount	12	X(12)	The total amount of premium reported
29 - 40	Total Deduction Amount	12	X(12)	The total amount of the employee share reported
42 - 48	Total Deduction Count	7	X(7)	The total number of deductions reported
50 - 52	Deduction Code	3	X(3)	Identifies the deduction code to which the totals apply
54 - 56	Organization Code	3	X(3)	Identifies the organization code to which the totals apply
58	Deduction Type	1	X	Indicates first byte of deduction type
60	Sub-Total Indicator	1	X	Indicates if Sub-Totals are used
62 - 64	File Designation Code	3	X(3)	Indicates file number assigned by SCO

BU 2000 BUSINESS MONTH DEDUCTION FILE-EBCDIC Format

FILE NAME: **MISCELLANEOUS DEDUCTION** — FORMAT TITLE: **DETAIL RECORD** — DATE **11/16/98**

Field	Positions	Picture
SOCIAL SECURITY NUMBER	1–9	X(9)
First Int.	10	X
Middle Int.	11	X
EMPLOYEE NAME — SURNAME	12–24	X(13)
Agency Code	25–27	X(3)
Reporting Unit	28–30	X(3)
FILLER	31–44	X(14)
PAY PERIOD — Type	45	X
PAY PERIOD — Century	46–47	9(2)
PAY PERIOD — Year	48–49	9(2)
PAY PERIOD — Month	50	9(2)

Field	Positions	Picture
Ded Code (Cont)	52–54	X(3)
Organization Code	55–57	X(3)
DEDUCTION AMOUNT	58–64	S9(5)V99
WARRANT NUMBER	65–72	X(8)
Format Code	73	X
Flex Ded Ind	74	X
Filler	75	X
File Designation Code	76–78	9(3)
FILLER	79–89	X(11)
Record Type	90	X

BU 2000 BUSINESS MONTH DEDUCTION FILE-EBCDIC Format

FILE NAME: **MISCELLANEOUS DEDUCTION** — FORMAT TITLE: **TOTAL RECORD** — DATE **11/16/98**

Field	Positions	Picture
FILLER	1–20	X(20)
TOTAL DEDUCTION AMOUNT	21–30	S9(8)V99
TOTAL DEDUCTION COUNT	31–36	X(6)
FILLER	37–50	X(15)

Field	Positions	Picture
Ded Code	52–54	X(3)
Organization Code	55–57	X(3)
FILLER	58–75	X(18)
File Designation Code	76–78	X(3)
FILLER	79–89	X(11)
Record Type	90	X

FORMAT DESCRIPTION

55

BU 2000 BUSINESS MONTH DEDUCTION FILE-EBCDIC Format

FILE NAME **HEALTH BENEFITS** FORMAT TITLE **DETAIL RECORD** DATE **11/16/98**

SOCIAL SECURITY NUMBER	EMPLOYEE NAME			Agency Code	Reporting Unit	STATE SHARE AMOUNT	TOTAL PREMIUM AMOUNT	PAY PERIOD			
	First Int.	Middle Int.	SURNAME					Type	Century	Year	Month
X(9)	X	X	X(13)	X(3)	X(3)	S9(5)V99	S9(5)V99	X	9(2)	9(2)	9(2)

Ded Code	FILLER	DEDUCTION AMOUNT	WARRANT NUMBER	Format Code	Flex Indicator	Filler	File Designation Code	FILLER	Record Type
X(3)	X(3)	S9(5)V99	X(8)	X	X	X	9(3)	X(11)	X

BU 2000 BUSINESS MONTH DEDUCTION FILE-EBCDIC Format

FILE NAME **HEALTH BENEFITS** FORMAT TITLE **TOTAL RECORD** DATE **11/16/98**

TOTAL STATE SHARE AMOUNT	TOTAL OF TOTAL PREMIUM AMOUNT	TOTAL DEDUCTION AMOUNT	TOTAL DEDUCTION COUNT	FILLER
S9(8)V99	S9(8)V99	S9(8)V99	9(6)	X(15)

Ded Code	FILLER	File Designation Code	FILLER	Record Type
X(3)	X(21)	9(3)	X(11)	X

FORMAT DESCRIPTION

56

BU 2000 BUSINESS MONTH DEDUCTION FILE-EBCDIC Format

FILE NAME **DENTAL/VISION BENEFITS** FORMAT TITLE **DETAIL RECORD** DATE **11/16/98**

1-9	10	11	12-24	25-27	28-30	31-37	38-44	45	46-47	48-49	50
	First Int.	Middle Int.	EMPLOYEE NAME					PAY PERIOD			
SOCIAL SECURITY NUMBER	First Int.	Middle Int.	SURNAME	Agency Code	Reporting Unit	STATE SHARE AMOUNT	TOTAL PREMIUM AMOUNT	Type	Century	Year	Month
X(9)	X	X	X(13)	X(3)	X(3)	S9(5)V99	S9(5)V99	X	9(2)	9(2)	9(2)

51	52-54	55-57	58-64	65-72	73	74	75	76-78	79-89	90	91-100
	Ded Code	Organization Code	DEDUCTION AMOUNT	WARRANT NUMBER	Format Code	Flex Indicator	Filler	File Designation Code	FILLER	Record Type	
	X(3)	X(3)	S9(5)V99	X(8)	X	X	X	X(3)	X(11)	X	

BU 2000 BUSINESS MONTH DEDUCTION FILE-EBCDIC Format

FILE NAME **DENTAL/VISION BENEFITS** FORMAT TITLE **TOTAL RECORD** DATE **11/16/98**

1-10	11-20	21-30	31-36	37-50
TOTAL STATE SHARE AMOUNT	TOTAL OF TOTAL PREMIUM AMOUNT	TOTAL DEDUCTION AMOUNT	TOTAL DEDUCTION COUNT	FILLER
S9(8)V99	S9(8)V99	S9(8)V99	9(6)	X(15)

51-54	55-57	58-75	76-78	79-89	90	91-100
Ded Code (Cont)	Organization Code	FILLER	File Designation Code	FILLER	Record Type	
X(3)	X(3)	X(18)	9(3)	X(11)	X	

FORMAT DESCRIPTION

BU 2000 BUSINESS MONTH DEDUCTION FILE-ASCii Format

FILE NAME **INTERNET DEDUCTIONS**   FORMAT TITLE **DETAIL RECORD**   DATE **11/16/98**

1	2 3 4 5 6 7 8 9 10 11	12	13	14 15 16	17 18 19 20 21 22 23 24 25 26 27 28 29	30	31 32 33	34 35 36 37	38	39 40 41 42 43 44 45 46 47 48
					EMPLOYEE NAME					
Record Type	SOCIAL SECURITY NUMBER		First Int.	Middle Int.	SURNAME		Agency Code	Reporting Unit		TOTAL PREMIUM AMOUNT
X	X(9)		X	X	X(13)		X(3)	X(3)		X(9)

49	50 51	52 53 54 55	56 57	58 59 60	61 62	63 64 65 66	67 68 69 70 71 72 73 74 75 76 77	78 79 80 81 82 83 84 85	86	87 88	89 90 91 92 93	94 95 96
	PAY PER											
Type	Month	Century	Year	Ded Code	Organization Code		DEDUCTION AMOUNT	WARRANT NUMBER	Format Code	Flex Ind	File Designation Code	Sort RU
X	9(2)	9(2)	9(2)	X(3)	X(3)		X(9)	X(8)	X	X	9(3)	X(3)

97 98 99 100 101 102 103 104 105 106
STATE SHARE AMOUNT or SALARY RATE
X(9)

FORMAT DESCRIPTION

58

BU 2000 BUSINESS MONTH DEDUCTION FILE-ASCii Format

FILE NAME <u>INTERNET DEDUCTIONS</u>   FORMAT TITLE <u>TOTAL RECORD</u>   DATE <u>11/16/98</u>

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
Record Type		TOTAL STATE SHARE AMOUNTS													TOTAL PREMIUM AMOUNTS													TOTAL DEDUCTION AMOUNT													TOTAL DEDUCTION COUNT						
X		X(12)													X(12)													X(12)													X(7)						

49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
	Deduction Code				Organization Code				Ded Type		Sub Total Ind		File Designation Code			FILLER																															
	X(3)				X(3)				X		X		9(3)																																		

97	98	99	100	101	102	103	104	105	106
FILLER									

FORMAT DESCRIPTION

55